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1763/11

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Kei-Yu Ko

Docket No.

11675.114

Serial No.  
08/846,671Filing Date  
April 30, 1997Examiner  
George A. GoudreauGroup Art Unit  
1763Invention: UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED  
SILICON DIOXIDE

JAN 23 2001

PATENT &amp; TRADEMARK OFFICE

#15  
2/1/01  
MWI hereby certify that this **Supplemental Information Disclosure Statement (\* and related documents)**  
(Identify type of correspondence)is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 onJan 23, 2001  
(Date)TIFFANY L. TREADWELL

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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\*Transmitted: Transmittal for Information Disclosure Statement (2 pages)  
 Supplemental Information Disclosure Statement (2 pages)  
 Form PTO-1449 (1 page)  
 Japan Reference No. 04-345,054 with English translation (10 pages)  
 Check in the amount of \$180.00  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
11675.114

In Re Application Of: Kei-Yu Ko

JAN 23 2001

U.S. PATENT & TRADEMARK OFFICE  
SEARCHED  
SERIALIZED  
INDEXED  
FILED  
JAN 23 2001  
SC10A

Serial No.  
08/846,671

Filing Date  
April 30, 1997

Examiner  
George A. Goudreau

Group Art Unit  
1763

Title: UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11675.114

In Re Application Of: Kei-Yu Ko

JAN 23 2001

PATENT & TRADEMARK OFFICE  
SEARCHED  
SERIALIZED  
FILED

Serial No.  
08/846,671

Filing Date  
April 30, 1997

Examiner  
George A. Goudreau

Group Art Unit  
1763

Title: UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \$180.00 is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of
  - Credit any overpayment.
  - Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Jesus Juanos Timoneda, Reg. No. 43,33



022901

PATENT TRADEMARK OFFICE

CC:

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PATENT APPLICATION  
Docket No: 11675.114



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei-Yu Ko )  
Serial No.: 08/846,671 ) Art Unit  
Filed: April 30, 1997 ) 1763  
For: UNDOPED SILICON DIOXIDE AS ETCH )  
STOP FOR SELECTIVE ETCH OF DOPED )  
SILICON DIOXIDE )  
Examiner: George A. Goudreau )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(c)

Assistant Commissioner for Patents  
Washington, D.C. 20231

CONFIDENTIAL INFORMATION

Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), the enclosed Form PTO-1449 which contains a Japanese patent, previously listed in the Form PTO-1449 filed on 10/03/2000, as an item that has come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that this reference may be "prior art" within the meaning of that term under 35 USC § 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention

(taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Statement of References Previously Disclosed  
Under 37 CFR § 1.98(d)

The following listed reference is enclosed because, under 37 CFR § 1.98(d), it was previously cited by or submitted to the Office in application number 08/958,290, filed on October 27, 1997, which is relied upon for an earlier filing date under 35 USC § 120. While a copy of JP 04-345054 was included in the Information Disclosure Statement filed October 3, 2000, a copy of its English translation is enclosed in the present filing.

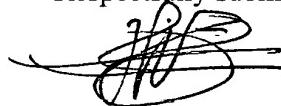
Foreign Patent Document

<u>Patent Number</u>	<u>Issue Date</u>	<u>Country</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
04-345,054	12-1-92	Japan	--	--	--

Please credit any over-payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23<sup>rd</sup> day of January, 2001.

Respectfully submitted,



Jesús Juanós i Timoneda  
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